

S/N 10/004,264

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scott Waterman et al.  
Serial No.: 10/004,264  
Filed: October 31, 2001  
Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN  
ASSOCIATING INTELLIGENCE WITH CONTENT

Examiner: Jacques Veillard  
Group Art Unit: 2176  
Docket: 1546.009US1

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OCT 12 2004

Technology Center 2100

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,  
SCOTT WATERMAN ET AL.

By their Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
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Date Sept. 30, 2004  
By Suneel Arora  
Suneel Arora  
Reg. No. 42,267

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 30 day of September, 2004.

Name Mike UPMIS

Signature Nina J. Plus



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COMMUNICATION CONCERNING RELATED APPLICATIONS

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Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/594,083 6,711,585	June 15, 2000	1546.001US1	SYSTEM AND METHOD FOR IMPLEMENTING A KNOWLEDGE MANAGEMENT SYSTEM
09/798,964	March 6, 2001	1546.002US1	A SYSTEM AND METHOD FOR PROVIDING AN INTELLIGENT MULTI-STEP DIALOG WITH A USER
09/911,839	July 23, 2001	1546.007US1	SYSTEM AND METHOD FOR MEASURING THE QUALITY OF INFORMATION RETRIEVAL
09/911,841	July 23, 2001	1546.010US1	ADAPTIVE INFORMATION RETRIEVAL SYSTEM AND METHOD
09/912,247	July 23, 2001	1546.011US1	SYSTEM AND METHOD FOR PROVIDING A LINK RESPONSE TO INQUIRY
09/944,636	August 31, 2001	1546.012US1	USE BASED RANKING FOR INFORMATION RETRIEVAL SYSTEM
10/610,994	July 1, 2003	1546.001US3	SYSTEM AND METHOD FOR IMPLEMENTING A KNOWLEDGE MANAGEMENT SYSTEM

**COMMUNICATION CONCERNING RELATED APPLICATIONS**

Serial Number: 10/004,264

Filing Date: October 31, 2001

Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT

Page 2

Dkt: 1546.009US1

10/889,888

July 13, 2004

1546.002US2

A SYSTEM AND METHOD FOR  
PROVIDING AN INTELLIGENT  
MULTI-STEP DIALOG WITH A USER

Respectfully submitted,

SCOTT WATERMAN ET AL.

By Applicants' Representatives,

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Date Sept. 30, 2004

By



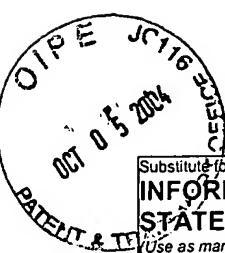
Suneel Arora

Reg. No. 42,267

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Suneel Arora  
Name

Suneel Arora  
Signature



PTO/SB/08A(10-01)  
Approved for use through 10/31/2002, OMB BS1-0031  
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US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/004,264
		Filing Date	October 31, 2001
		First Named Inventor	Waterman, Scott
		Group Art Unit	2176
		Examiner Name	Veillard, Jacques
Sheet 1 of 1		<b>RECEIVED</b> OCT 12 2004 Technology Center 2100	
		Attorney Docket No: 1546.009US1	

#### US PATENT DOCUMENTS

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#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>

#### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

EXAMINER

DATE CONSIDERED